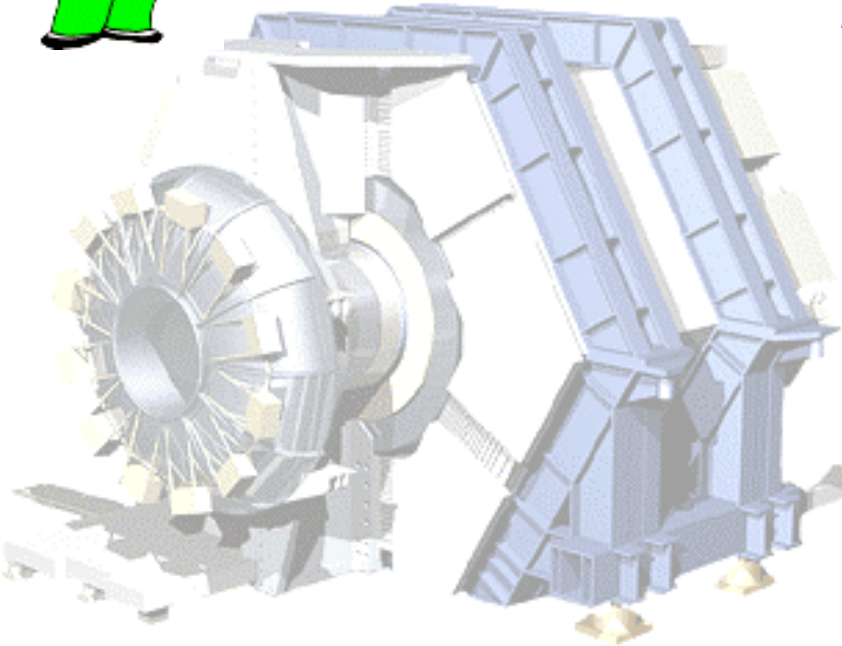


CP-Violating Asymmetries in Charmless B Decays: Towards a measurement of α



On behalf of the BaBar Collaboration

*International Conference on High Energy Physics
Amsterdam, July 24-31, 2002*



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CP asymmetries in $\pi^+\pi^-$ and $K^+\pi^-$

Submitted to Phys Rev (hep-ex/0207055)

Decay rates for $\pi^+\pi^0$ and $\pi^0\pi^0$

hep-ex/0207065 and hep-ex/0207063

CP asymmetries in $\rho^+\pi^-$ and ρ^+K^-

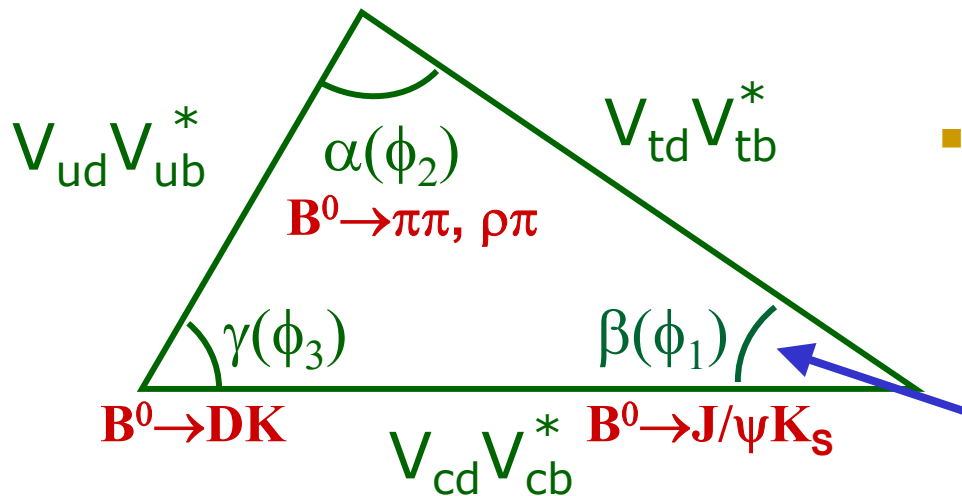
hep-ex/0207068

CP Violation in the Standard Model

- CP symmetry can be violated in any field theory with at least one irremovable complex phase in the Lagrangian
- This condition is satisfied in the Standard Model through the three-generation Cabibbo-Kobayashi-Maskawa (CKM) quark-mixing matrix

Unitarity Triangle

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$



$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- The angles (α, β, γ) are related to CP-violating asymmetries in specific B decays

□ One down, two to go...

$$\sin 2\beta_{\text{BaBar}} = 0.741 \pm 0.067 \pm 0.033$$

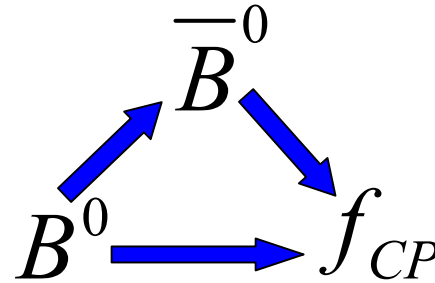
ICHEP 2002

Observing CP violation at the $\Upsilon(4S)$

■ At the $\Upsilon(4S)$, $B\bar{B}$ pairs are produced in a coherent P-wave

■ Three observable interference effects:

- CP violation in mixing ($|q/p| \neq 1$)
- (direct) CP violation in decay ($|\bar{A}/A| \neq 1$)
- (indirect) CP violation in mixing and decay ($\text{Im}\lambda \neq 0$)



$$\lambda_{f_{CP}} = \frac{q}{p} \cdot \frac{\bar{A}_{f_{CP}}}{A_{f_{CP}}}$$

Observable in time evolution of $B^0\bar{B}^0$ system (assume $\Delta\Gamma=0$)

$$f(\bar{B}_{phys}^0 \rightarrow f_{CP}, \Delta t) = \frac{\Gamma}{4} e^{-\Gamma|\Delta t|} \left[1 + S_{f_{CP}} \sin(\Delta m_d \Delta t) - C_{f_{CP}} \cos(\Delta m_d \Delta t) \right]$$

$$f(B_{phys}^0 \rightarrow f_{CP}, \Delta t) = \frac{\Gamma}{4} e^{-\Gamma|\Delta t|} \left[1 - S_{f_{CP}} \sin(\Delta m_d \Delta t) + C_{f_{CP}} \cos(\Delta m_d \Delta t) \right]$$

direct CP violation $\rightarrow C \neq 0$

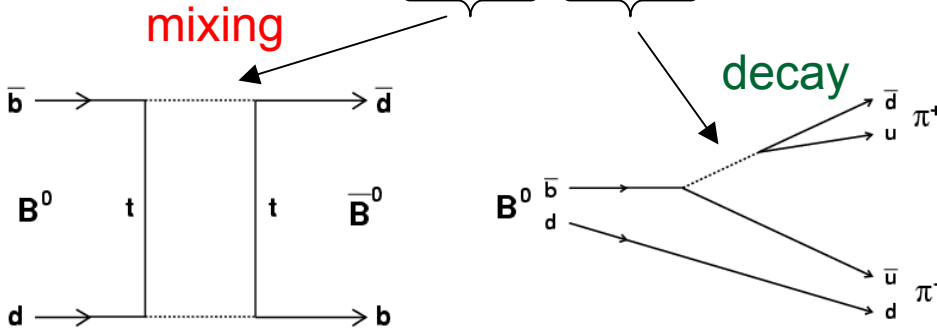
indirect CP violation $\rightarrow S \neq 0$

$$S_f = \frac{2 \text{Im} \lambda_{f_{CP}}}{1 + |\lambda_{f_{CP}}|^2} \quad C_f = \frac{1 - |\lambda_{f_{CP}}|^2}{1 + |\lambda_{f_{CP}}|^2}$$

CP Violation in $B^0 \rightarrow \pi^+\pi^-$

Tree (T) Level:

$$\lambda_{\pi\pi} = \frac{V_{tb}^* V_{td}}{V_{tb} V_{td}^*} \frac{V_{ud}^* V_{ub}}{V_{ud} V_{ub}^*}$$

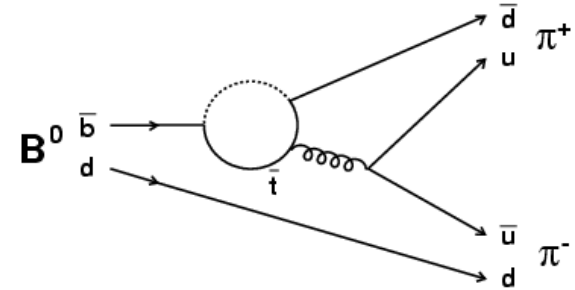


$$\lambda_{\pi\pi} = e^{2i\alpha}$$

$$C_{\pi\pi} = 0$$

$$S_{\pi\pi} = \sin(2\alpha)$$

With Penguins (P):



$$\lambda_{\pi\pi} = e^{2i\alpha} \frac{1 + |P/T| e^{i\delta} e^{i\gamma}}{1 + |P/T| e^{i\delta} e^{-i\gamma}}$$

$$C_{\pi\pi} \propto \sin(\delta)$$

$$S_{\pi\pi} = \sqrt{1 - C_{\pi\pi}^2} \sin(2\alpha_{\text{eff}})$$

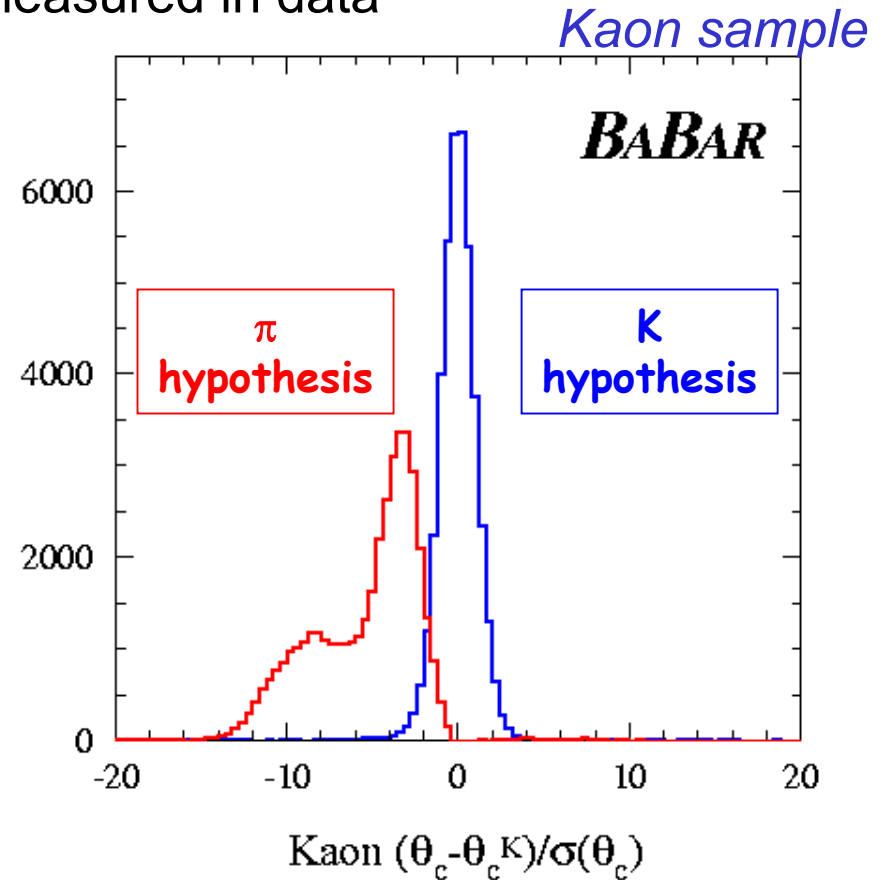
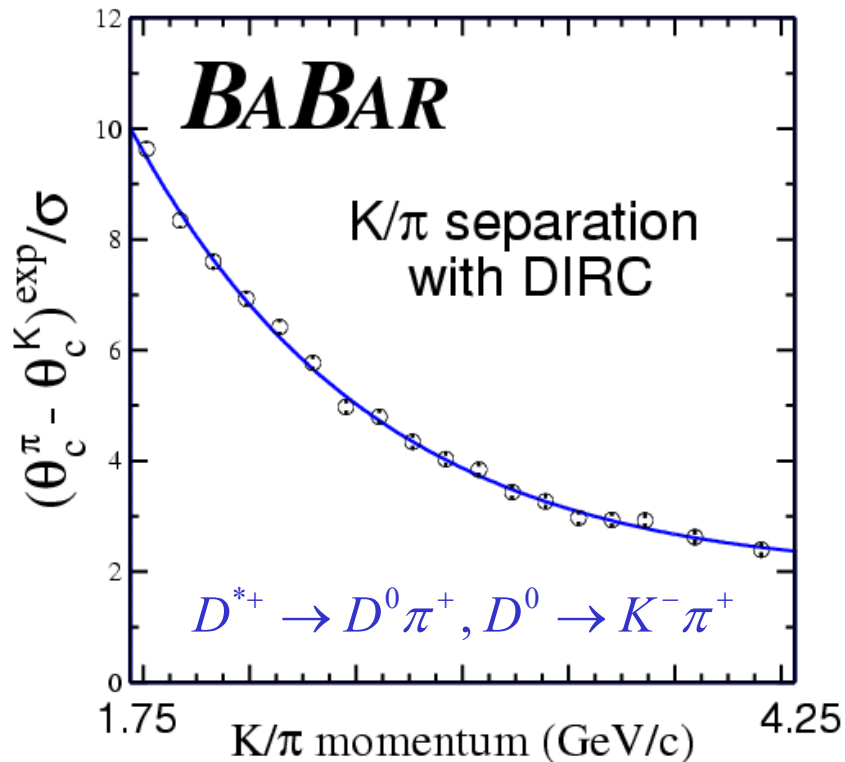
Need branching fractions for $\pi^+\pi^-$, $\pi^\pm\pi^0$, and $\pi^0\pi^0$ to get α from $\alpha_{\text{eff}} \rightarrow$ isospin analysis

Overview of Analyses

- Analysis issues: charmless B decays
 - Rare decays! $BR \sim 10^{-5}-10^{-6} \rightarrow$ need lots of data (PEP-II)
 - Backgrounds:
 - Large background from $e^+e^- \rightarrow q\bar{q} \rightarrow$ need background suppression
 - Modes with π^0 suffer backgrounds from other B decays
 - Ambiguity between π and K \rightarrow need excellent particle ID (DIRC)
- Time-dependent CP analysis issues:
 - Need to determine vertex position of both B mesons \rightarrow silicon
 - Need to know the flavor of “other” B \rightarrow particle ID
- We use maximum likelihood (ML) fits to extract signal yields and CP-violating asymmetries
 - Kinematic and topological information to separate signal from light-quark background
 - Particle ID to separate pions and kaons
- The data sample corresponds to **87.9 million $B\bar{B}$ pairs**

K/ π Separation with the DIRC

- Cherenkov angle θ_c used in the maximum likelihood fit to distinguish pions and kaons
- Resolution and K- π separation measured in data



Analysis of $B \rightarrow \pi\pi, K\pi, KK$

- Analysis proceeds in two steps:
 - Time-independent fit for yields and $K\pi$ charge asymmetry
 - Time-dependent fit for $S_{\pi\pi}$, and $C_{\pi\pi}$

- Kinematically select B candidates with m_{ES} , ΔE

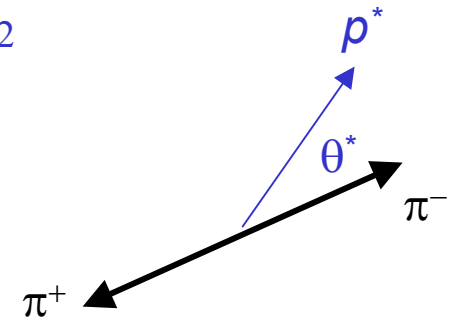
$$m_{ES} = \sqrt{E_{\text{beam}}^{*2} - p_B^{*2}} \quad \Delta E = E_B^* - E_{\text{beam}}^*$$

- Suppress $q\bar{q}$ background with Fisher discriminant

$$F = 0.53 - 0.60 \times \sum_i p_i^* + 1.27 \times \sum_i p_i^* |\cos(\theta_i^*)|^2$$

- Fit yields and charge asymmetry

$$A_{CP}^{K\pi} \equiv \frac{N(K^- \pi^+) - N(K^+ \pi^-)}{N(K^- \pi^+) + N(K^+ \pi^-)}$$



Branching Fraction Results

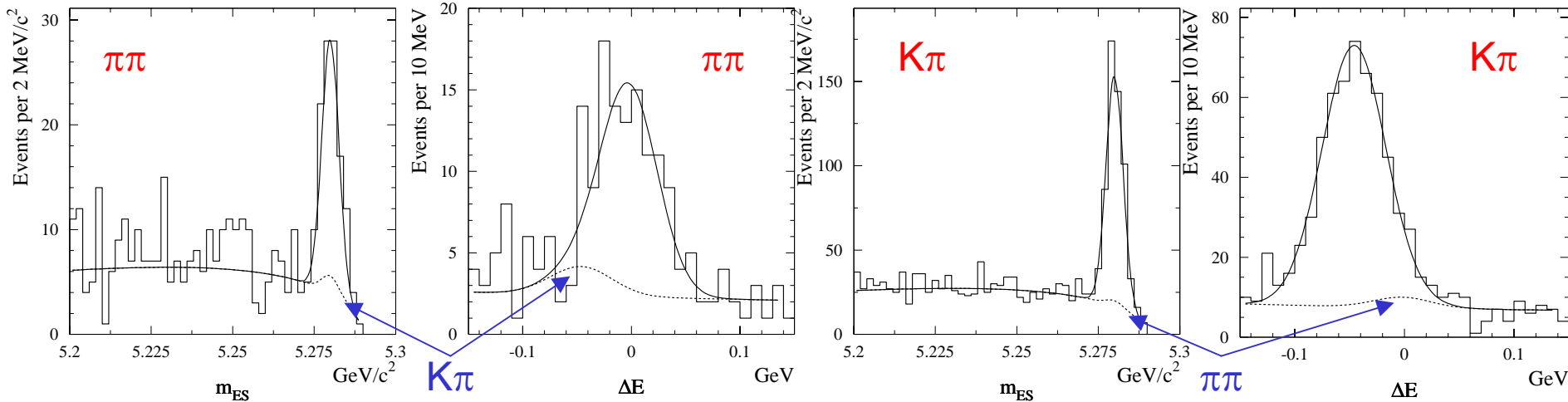
Submitted to Phys Rev (hep-ex/0207055)

87.9 ± 1.1 million $B\bar{B}$

Mode	Yield	BR (10^{-6})	$A_{CP}(K\pi)$
$B^0 \rightarrow \pi^+\pi^-$	157 ± 19	$4.7 \pm 0.6 \pm 0.2$	
	589 ± 30	$17.9 \pm 0.9 \pm 0.7$	$-0.102 \pm 0.050 \pm 0.016$
$B^0 \rightarrow K^+K^-$	1 ± 8	< 0.6 (90% CL)	

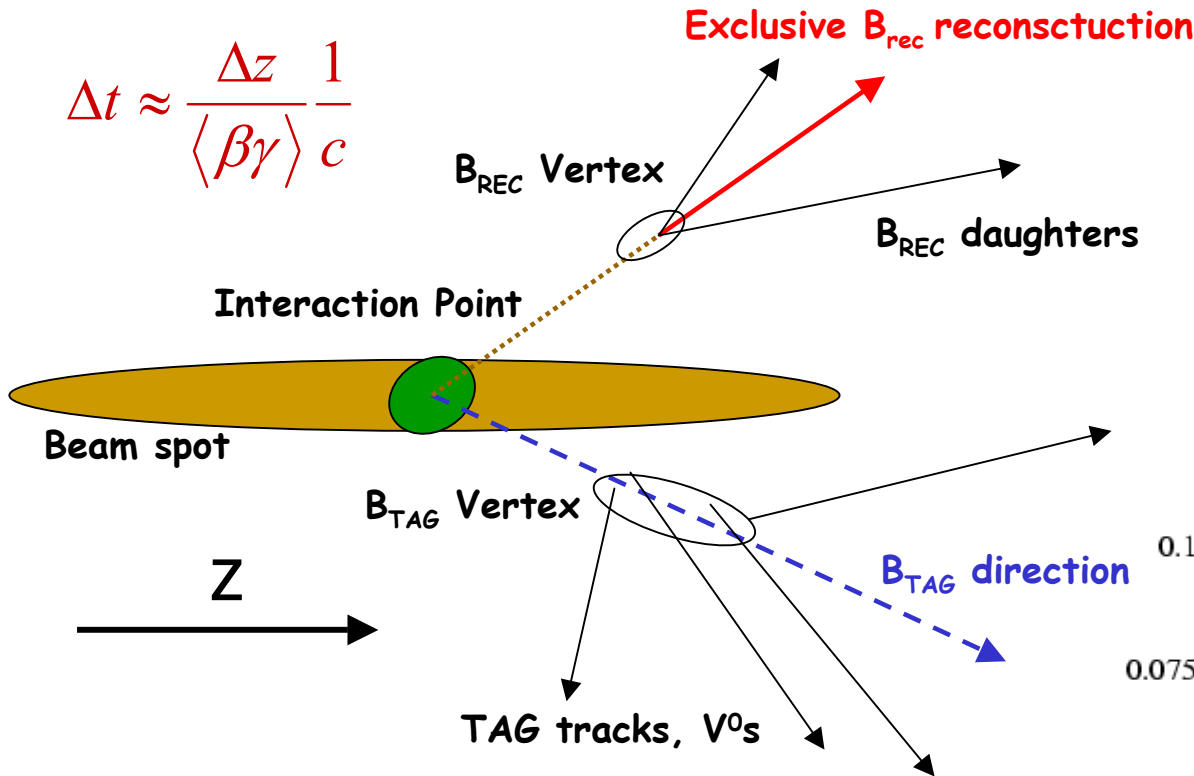
Preliminary

Projections in m_{ES} and ΔE



Vertex Reconstruction

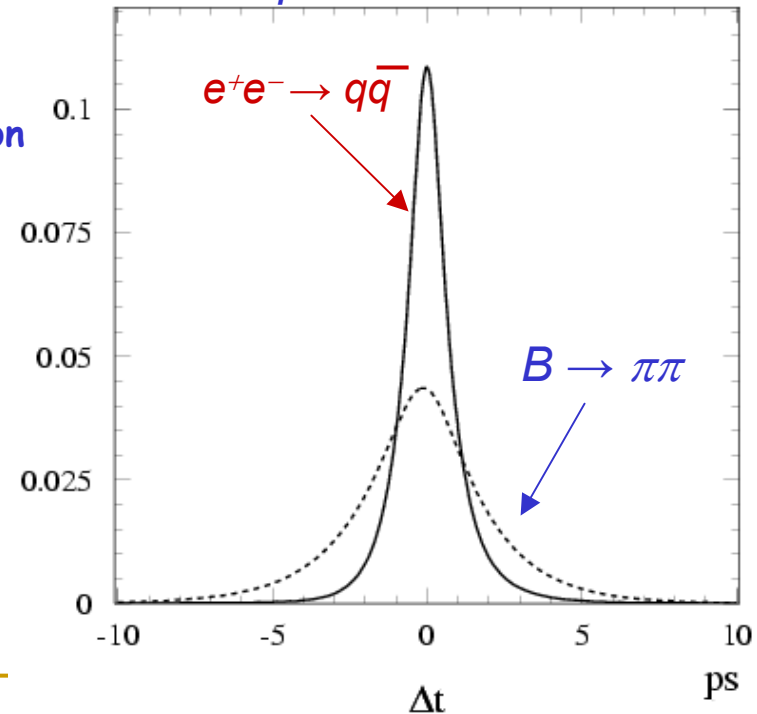
$$\Delta t \approx \frac{\Delta z}{\langle \beta \gamma \rangle} \frac{1}{c}$$



Δz resolution dominated by tag side \rightarrow same resolution function as charmonium ($\sin 2\beta$) sample

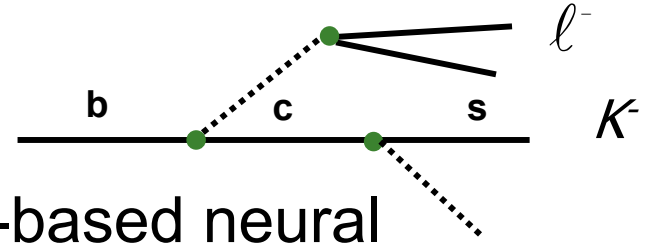
Average Δz resolution $\sim 180 \mu\text{m}$

Example in $B \rightarrow \pi\pi$



- Resolution function parameters obtained from data for both signal and background
 - Signal from sample of fully reconstructed B decays to flavor eigenstates: $D^*(\pi, \rho, a_1)$
 - Background from data sidebands

B Flavor Tagging



- New tagging algorithm with physics-based neural networks
 - Inputs include leptons, kaons, slow- π (from D^*), and high-momentum tracks
 - Outputs combined and categorized by mistag prob (w)
- 5 mutually exclusive categories:
 - **Lepton** – isolated high-momentum leptons
 - **Kaon I** – high quality kaons or correlated K^- and slow- π^+
 - **Kaon II** – lower quality kaons, or slow- π
 - **Inclusive** – unidentified leptons, poor-quality kaons, high-momentum tracks
 - **Untagged** – no flavor information is used

~7% improvement in $Q = \varepsilon(1-2w)^2$

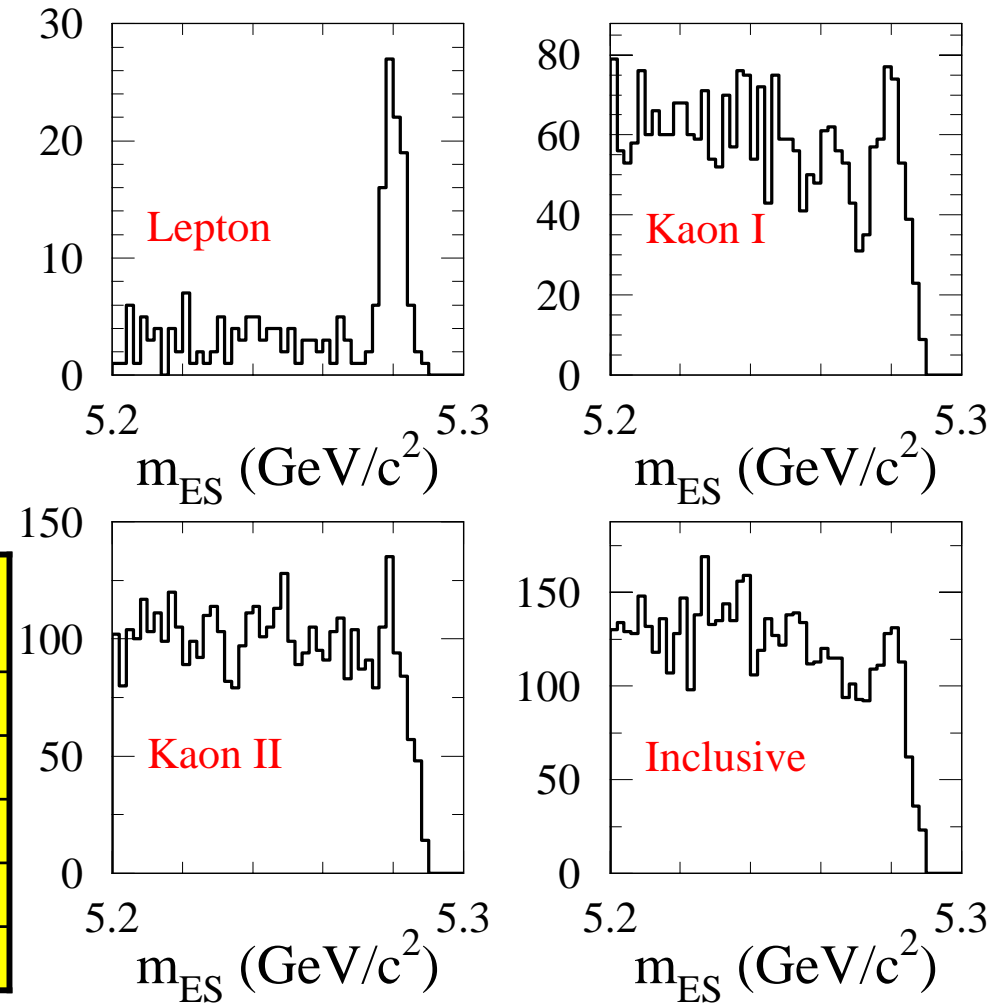
Tagging in Charmless B Decays

- Tagging efficiency is very different for signal and bkg
 - Strong bkg suppression in categories with the lowest mistag prob (Lepton/Kaon)
 - Different bkg tagging efficiencies for $\pi\pi$, $K\pi$, KK

Tagging Efficiencies (%)

Category	Signal	Background		
		$\pi\pi$	$K\pi$	KK
Lepton	9.1	0.5	0.4	0.6
Kaon I	16.6	8.9	12.7	7.8
Kaon II	19.8	15.5	19.4	14.4
Inclusive	20.1	21.5	19.2	21.7
Untagged	34.4	53.6	48.3	55.6

81/fb $B \rightarrow h^+h^-$ sample split by tagging category



Validation of Tagging, Vertexing, and ML Fit

Fit projection in sample of $K\pi$ -selected events

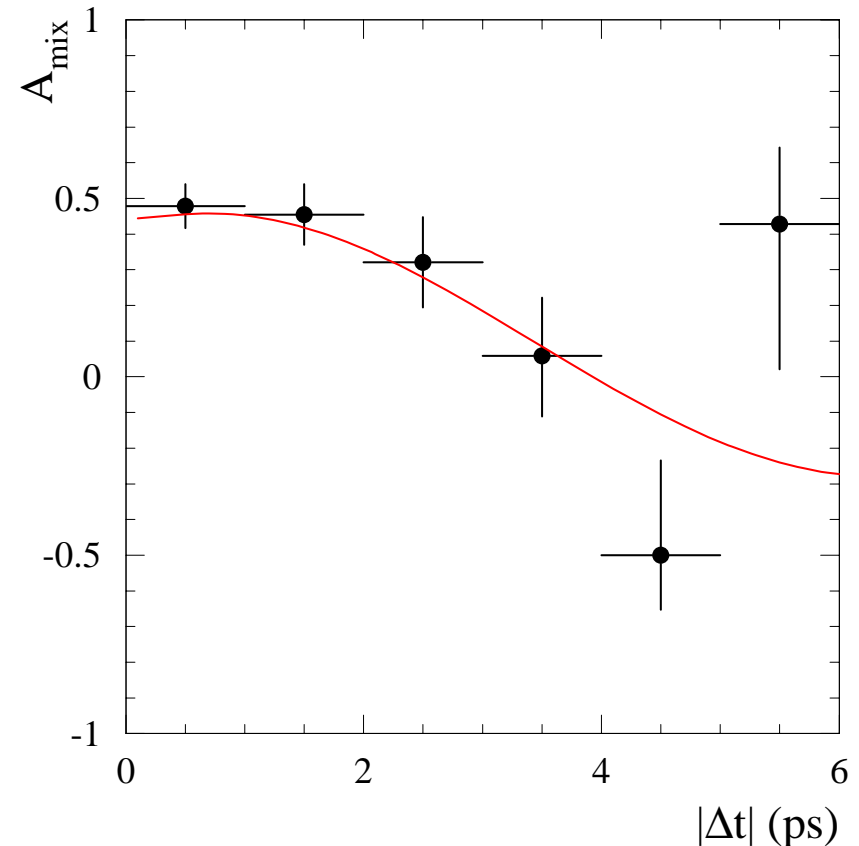
- $K\pi$ decays are self-tagging
 - T = tag charge
 - Q = kaon charge

$$f_{T,Q}^{K\pi}(\Delta t) \approx \frac{e^{-|\Delta t|/\tau}}{4\tau} [1 - TQ(1 - 2w) \cos(\Delta m_d \Delta t)]$$

- Float τ and Δm_d in same sample used to extract CP asymmetries:

$$\tau = (1.56 \pm 0.07) \text{ps}$$

$$\Delta m_d = (0.52 \pm 0.05) \text{ps}^{-1}$$



CP Asymmetry Results

Preliminary

$$S_{\pi\pi} = 0.02 \pm 0.34 \pm 0.05$$

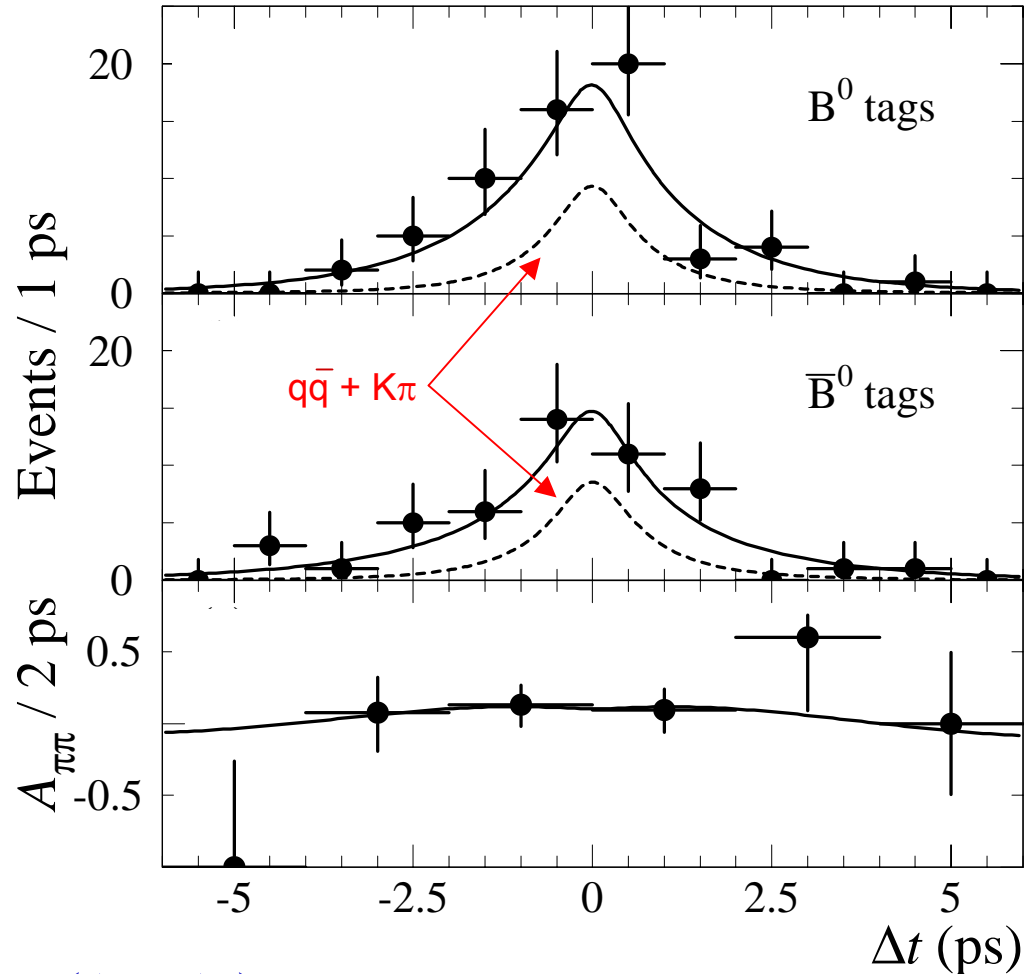
$$C_{\pi\pi} = -0.30 \pm 0.25 \pm 0.04$$

Submitted to Phys Rev (hep-ex/0207055)

$$A_{\pi\pi}(\Delta t) \equiv \frac{N(B_{tag}^0) - N(\bar{B}_{tag}^0)}{N(B_{tag}^0) + N(\bar{B}_{tag}^0)}$$

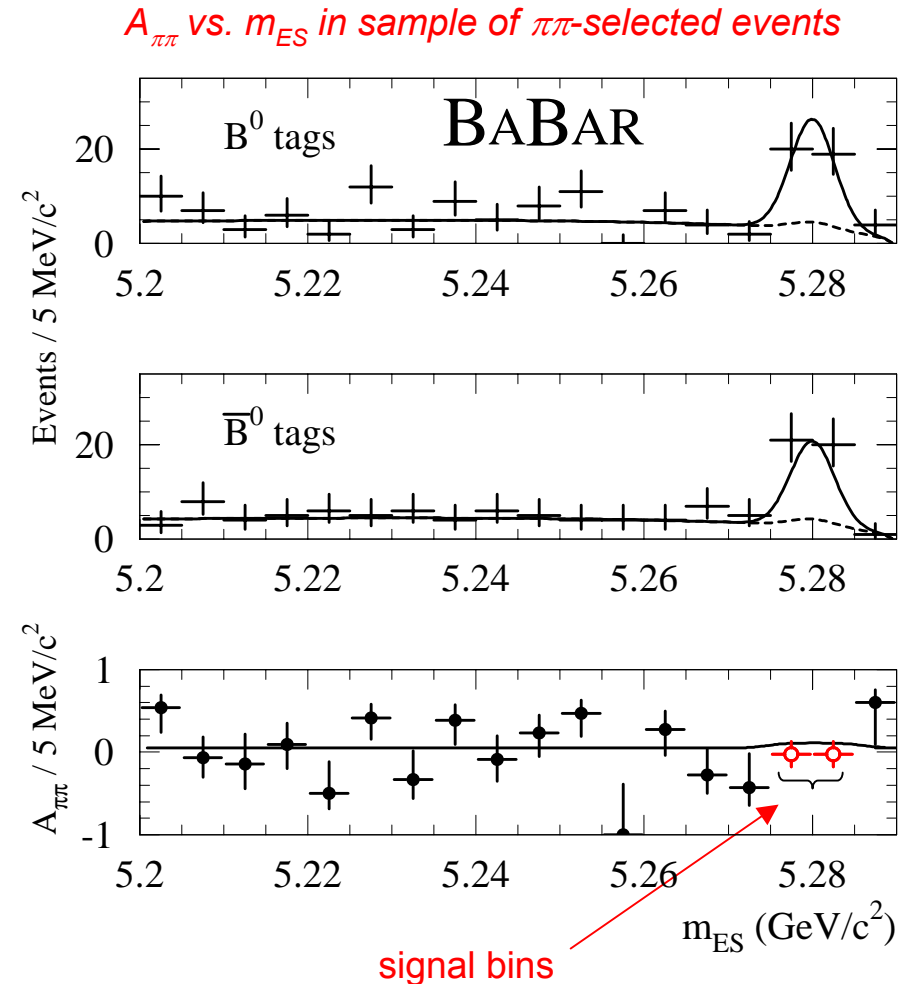
$$= S_{\pi\pi} \sin(\Delta m_d \Delta t) - C_{\pi\pi} \cos(\Delta m_d \Delta t)$$

Fit projection in sample of $\pi\pi$ -selected events



Cross-checks

- Inspect $\pi\pi$ -selected sample
 - 2-param fit consistent with full fit
 - **asymmetry vs. m_{ES}**
 - Asymmetry in yields consistent with measured value of $C_{\pi\pi}$, but does not suggest large direct CP violation
- Toy MC generated over all allowed values of $S_{\pi\pi}$ and $C_{\pi\pi}$
 - Expected errors consistent with data
 - No significant bias observed
- Validated in large samples of signal and background MC events
- Systematic errors dominated by uncertainty in PDF shapes



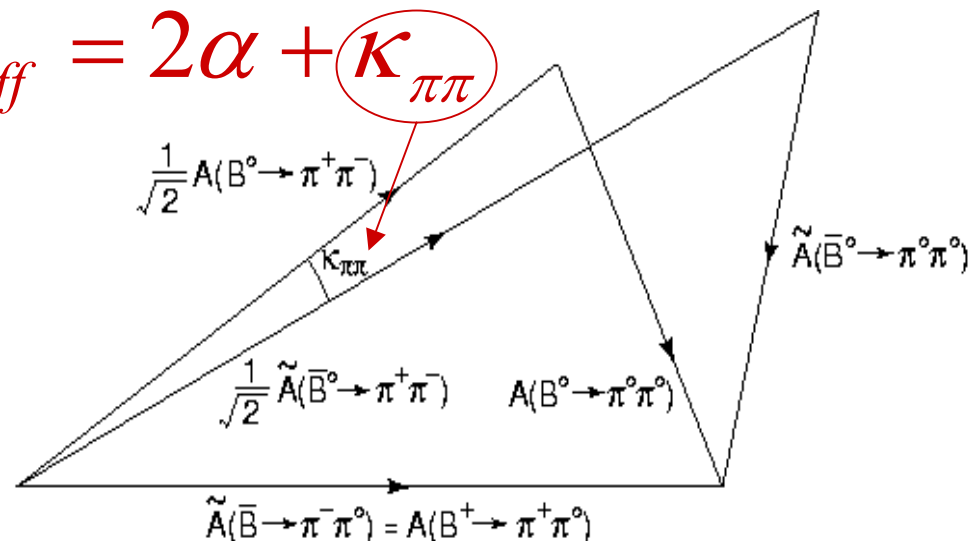
Taming the Penguins: Isospin Analysis

Gronau and London, Phys. Rev. Lett. 65, 3381 (1991)

- The decays $B \rightarrow \pi^+\pi^-, \pi^+\pi^0, \pi^0\pi^0$ are related by isospin
- Central observation is that $\pi\pi$ states can have $I = 2$ or 0
 - (gluonic) penguins only contribute to $I = 0$ ($\Delta I = 1/2$)
 - $\pi^+\pi^0$ is pure $I = 2$ ($\Delta I = 1/2$) so has only tree amplitude
 $\rightarrow (|A^{+0}| = |A^{-0}|)$
- Triangle relations allow determination of penguin-induced shift in α

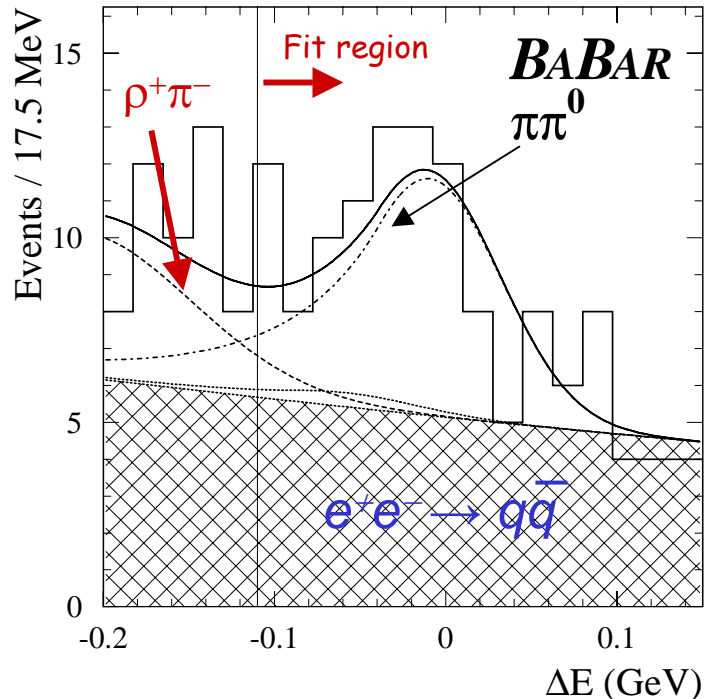
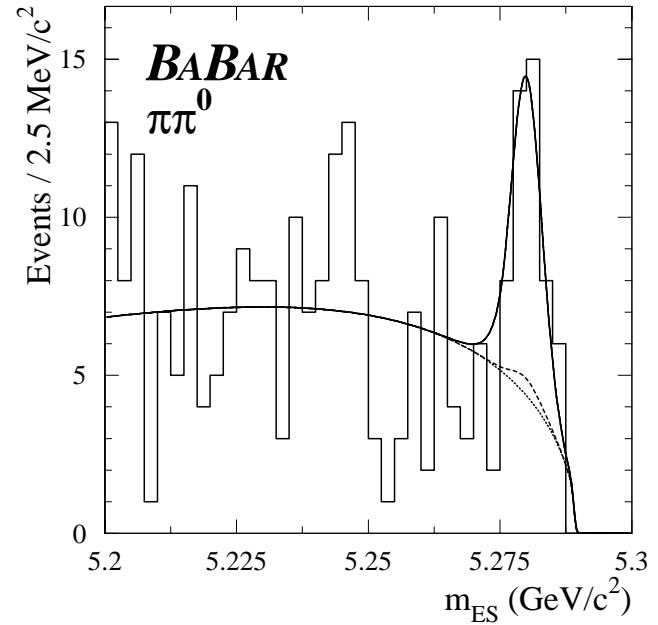
$$2\alpha_{eff} = 2\alpha + \kappa_{\pi\pi}$$

But, need branching fractions for all three decay modes, and for B^0 and \bar{B}^0 separately



The Base of the Isospin Triangle: $B^+ \rightarrow \pi^+ \pi^0$

- Analysis issues:
 - Usual charmless two-body; large $q\bar{q}$ background, π/K separation
 - Potential feeddown from $\rho^+ \pi^-$
 - Minimize with tight cut on ΔE



Simultaneous fit to $\pi\pi^0/K\pi^0$

Mode	Yield	BR (10^{-6})	A_{CP}
$B^+ \rightarrow \pi^+ \pi^0$	125^{+23}_{-21}	$5.5^{+1.0}_{-0.9} \pm 0.6$	$-0.03^{+0.18}_{-0.17} \pm 0.02$
$B^+ \rightarrow K^+ \pi^0$	239^{+21}_{-22}	$12.8^{+1.2}_{-1.1} \pm 1.0$	$-0.09 \pm 0.09 \pm 0.01$

Preliminary

hep-ex/0207065

Next Slide Please: $B^0 \rightarrow \pi^0 \pi^0$

- Analysis issues:
 - Small signal!
 - $\rho\pi^0$ feiddown
- Background suppression:
 - Event shape and flavor tagging to reduce $q\bar{q}$
 - Cut on $M(\pi^+\pi^0)$ and ΔE to reduce $\rho\pi^0$ background, then fix in the fit

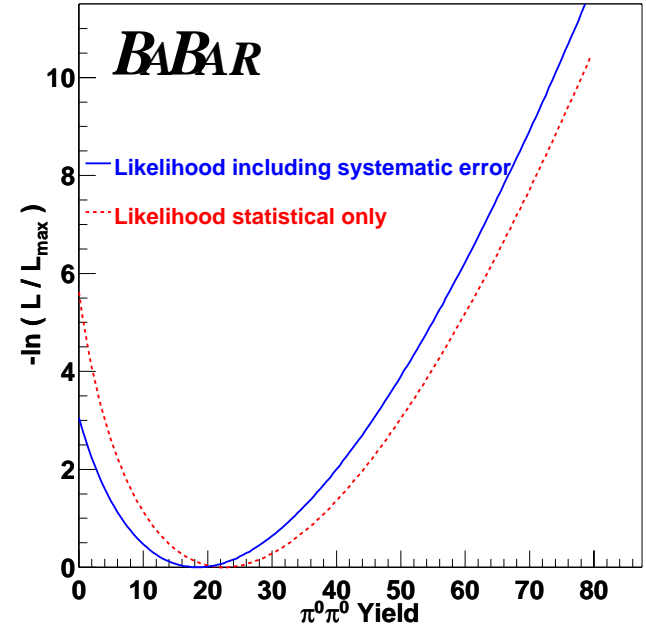
hep-ex/0207063

$$N_{\pi^0\pi^0} = 23_{-9}^{+10}$$

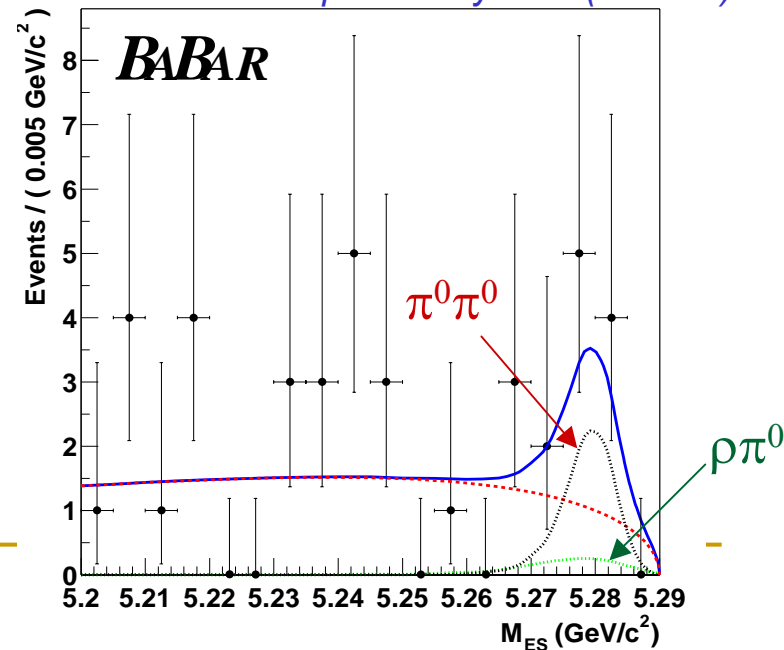
Preliminary

$$B(B^0 \rightarrow \pi^0 \pi^0) < 3.6 \times 10^{-6} \text{ @ } 90\% \text{ C.L.}$$

Significance including systematic errors = 2.5σ



Data after cut on probability ratio ($\epsilon \sim 20\%$)



Setting a Bound on Penguin Pollution

- Can still get information on α with only an upper bound on $\pi^0\pi^0$:
 - For example: Grossman-Quinn bound (assume only isospin)

$$\sin^2(\alpha_{\text{eff}} - \alpha) < \frac{1}{2} \left[BR(B^0 \rightarrow \pi^0\pi^0) + BR(\bar{B}^0 \rightarrow \pi^0\pi^0) \right] \\ BR(B^\pm \rightarrow \pi^\pm\pi^0)$$

$< 0.61 @ 90\% \text{ C.L.}$

Correlations and systematic errors included

$$|\alpha_{\text{eff}} - \alpha| < 51^\circ @ 90\% \text{ C.L.}$$

- Many other bounds on the market
 - Charles, Gronau/London/Sinha/Sinha, etc...

CP-Violating Asymmetries in $B^0 \rightarrow \rho^+ \pi^-, \rho^+ K^-$

R. Aleksan et al., Nucl. Phys. B361, 141 (1991)

- Opportunity and challenges
 - In principle, can measure α directly, even with penguins
 - **Much more difficult than $\pi^+ \pi^-$**
 - Three-body topology with neutral pion (combinatorics, lower efficiency)
 - Significant fraction of misreconstructed signal events and backgrounds from other B decays
 - Need much larger sample than currently available to extract α cleanly
- We perform a “quasi-two-body” analysis:
 - Select the ρ -dominated region of the $\pi^+ \pi^- \pi^0 / K^+ \pi^- \pi^0$ Dalitz plane
 - Use multivariate techniques to suppress $q\bar{q}$ backgrounds
 - Simultaneous fit for $\rho^+ \pi^-$ and $\rho^+ K^-$

Not a CP eigenstate, (at least) four amplitudes contribute:

Time-integrated asymmetry:

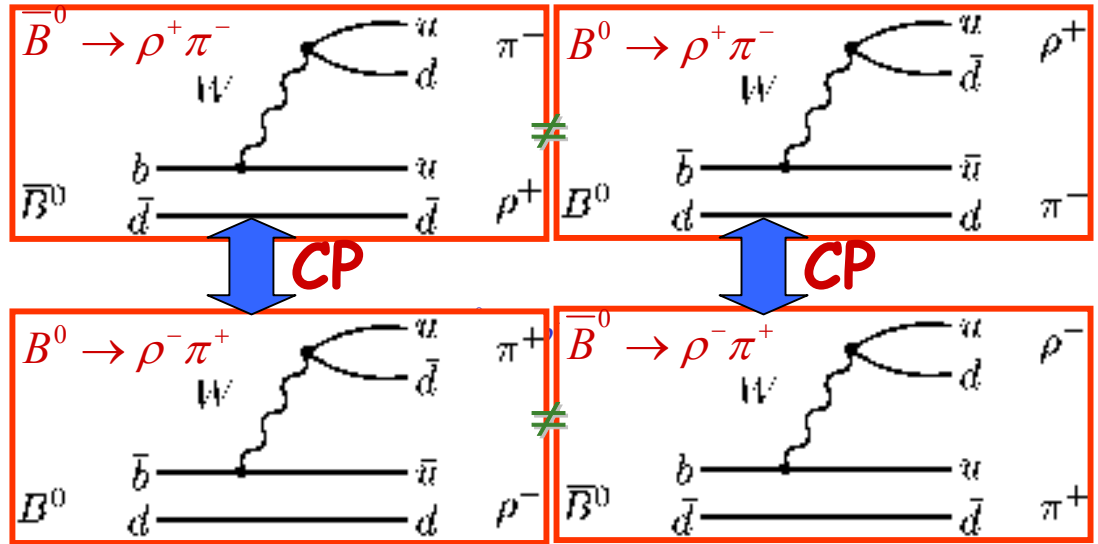
$$A_{CP}^{\rho h} = \frac{N(\rho^+ h^-) - N(\rho^- h^+)}{N(\rho^+ h^-) + N(\rho^- h^+)}$$

Time evolution includes:

$$(S_{\rho h} + Q\Delta S_{\rho h}) \sin(\Delta m_d \Delta t)$$

$$(C_{\rho h} + Q\Delta C_{\rho h}) \cos(\Delta m_d \Delta t)$$

Q is the ρ charge



direct CP violation $\rightarrow A_{CP}$ and $C \neq 0$

indirect CP violation $\rightarrow S \neq 0$

ΔC and ΔS are insensitive to CP violation

ρK is self-tagging:

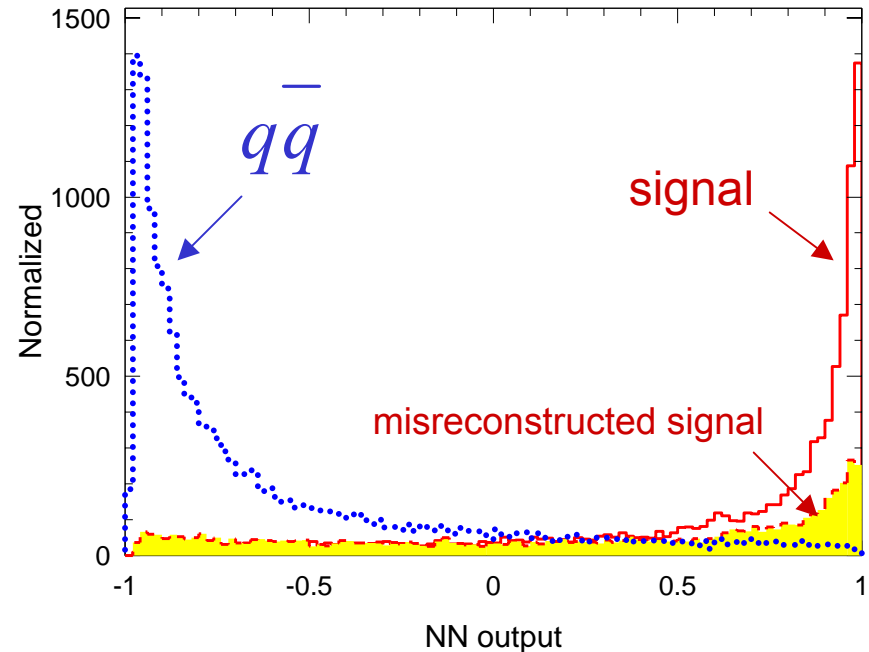
$$C_{\rho K} = 0, \Delta C_{\rho K} = -1, S_{\rho K} = 0, \Delta S_{\rho K} = 0$$

Fit for:

$$A_{CP}^{\rho\pi}, A_{CP}^{\rho K}, C_{\rho\pi}, \Delta C_{\rho\pi}, S_{\rho\pi}, \Delta S_{\rho\pi}$$

Analysis

- Multi-dimensional ML fit
 - m_{ES} , ΔE , Neural Net (NN), θ_c , Δt
- Components
 - Signal $\rho\pi$ and ρK
 - Misreconstructed signal events
 - Mostly due to wrong photon(s)
 - B backgrounds
 - from $b \rightarrow c$ and charmless B decays
 - Same lifetime as signal
 - $e^+e^- \rightarrow q\bar{q}$
- Fix B background yields, fit for signal yields and CP asymmetries



Validation:

$$\tau = (1.59 \pm 0.12)\text{ps}$$

$$\Delta m_d = (0.51 \pm 0.09)\text{ps}^{-1}$$

Yields and Charge Asymmetries

$$N_{\rho\pi} = 413^{+34}_{-33}$$

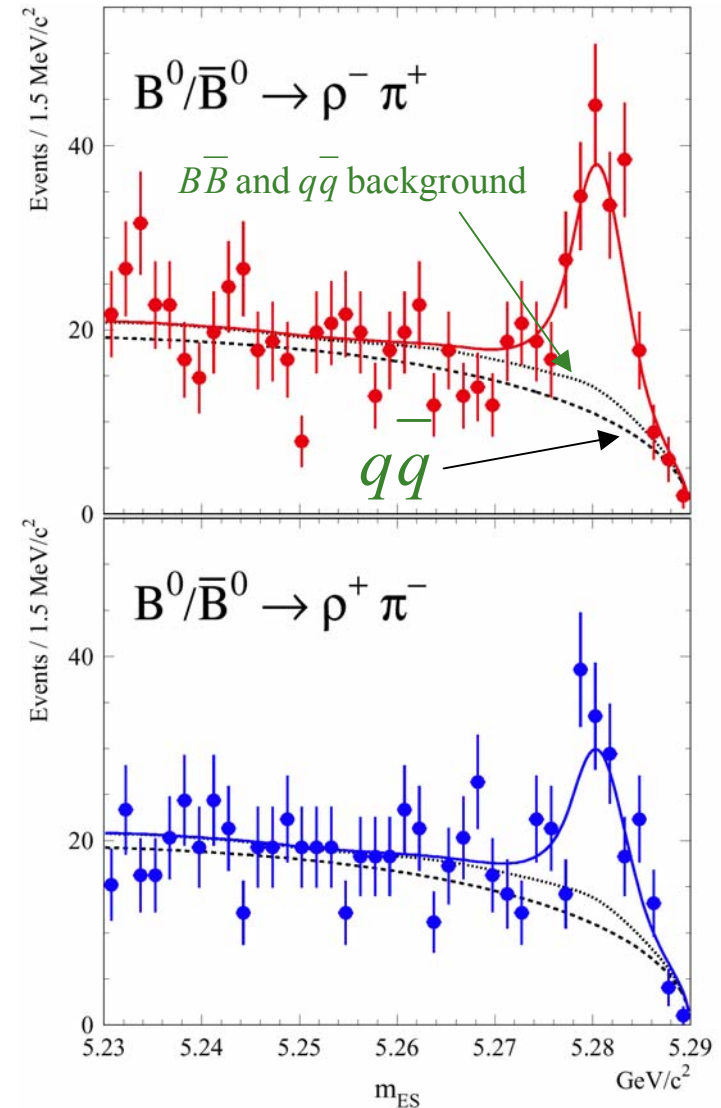
$$N_{\rho K} = 147^{+22}_{-21}$$

hep-ex/0207068

$$A_{CP}^{\rho\pi} = -0.22^{+0.08}_{-0.08} (\text{stat}) \pm 0.07 (\text{syst})$$

$$A_{CP}^{\rho K} = 0.19^{+0.14}_{-0.14} (\text{stat}) \pm 0.11 (\text{syst})$$

Preliminary



$B^0 \rightarrow \rho\pi$ time-dependent asymmetry

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$$C_{\rho\pi} = 0.45^{+0.18}_{-0.19} (\text{stat}) \pm 0.09 (\text{syst})$$

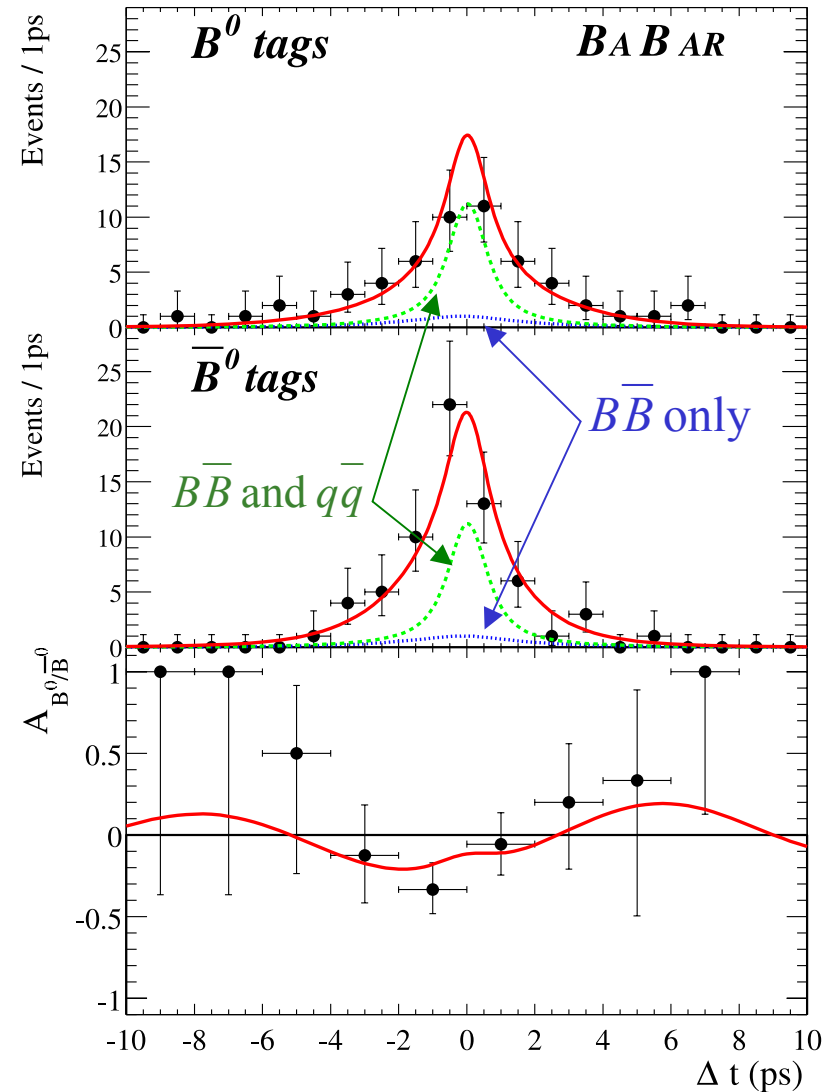
$$S_{\rho\pi} = 0.16^{+0.25}_{-0.25} (\text{stat}) \pm 0.07 (\text{syst})$$

Preliminary

$$\Delta C_{\rho\pi} = 0.38^{+0.19}_{-0.20} (\text{stat}) \pm 0.11 (\text{syst})$$

$$\Delta S_{\rho\pi} = 0.15^{+0.25}_{-0.25} (\text{stat}) \pm 0.05 (\text{syst})$$

Systematic error dominated by uncertainty on B backgrounds



Summary

- Hyperactive effort within BaBar to constrain, measure, and otherwise determine α
- Charmless two-body decays:
 - No evidence for large direct or indirect CP violation in $\pi\pi$
 - Beginning to piece together the necessary inputs to the isospin analysis
 - Measurements of decay rates for $\pi\pi^0$ and $\pi^0\pi^0$ (upper limit)
 - Too early for a significant constraint
- Charmless three-body decays
 - First measurement of CP asymmetries in $\rho\pi$ and ρK

The next few years will be interesting indeed!